



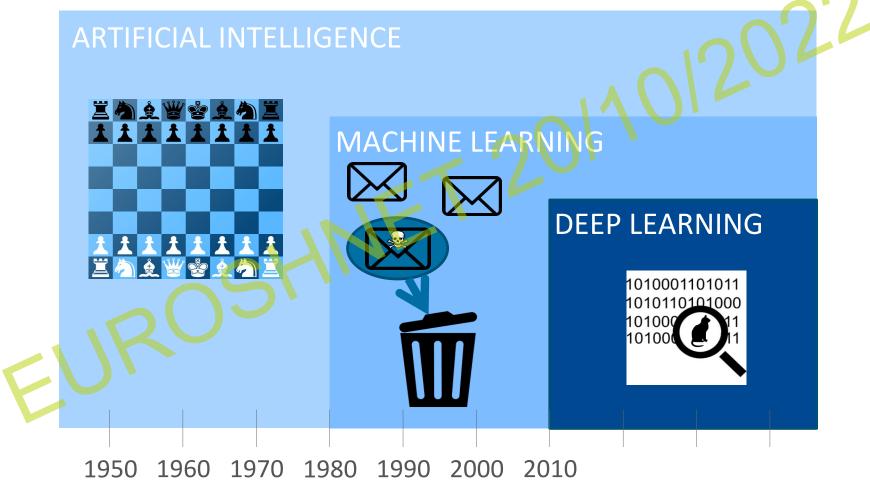
# Trustworthy Artificial Intelligence

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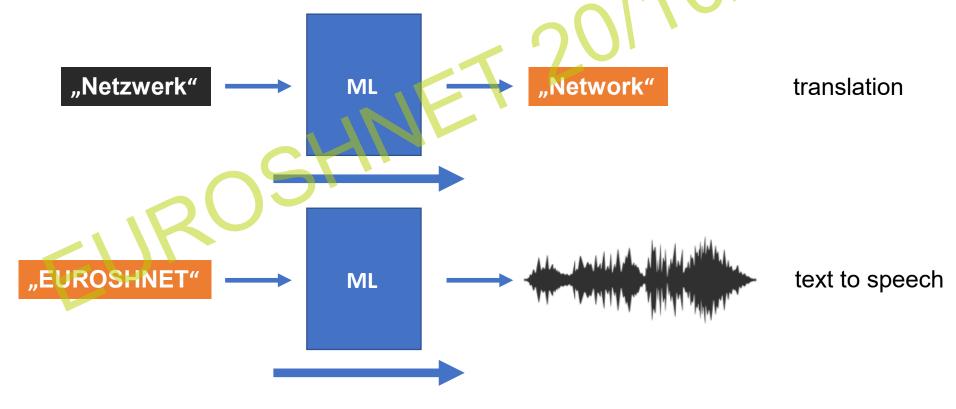
#### **Overview**





## **Machine Learning**

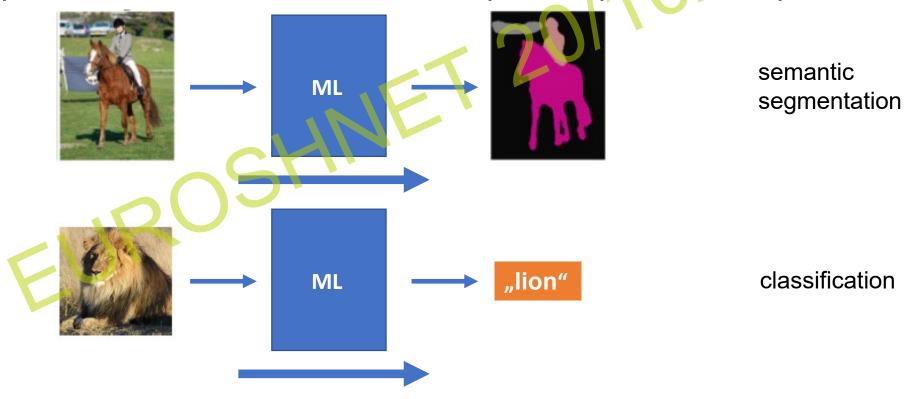
 In machine learning, a predominantly automated learning process uses sample data to create a model that maps an input to an output





## **Machine Learning**

 In machine learning, a predominantly automated learning process uses sample data to create a model that maps an input to an output





## **Examples of some Al errors**

- 07/2016 guard robot injures child in department store
- 11/2016 robot Xiao-Pang injures fair visitors
- 05/2017 rear-end collision Tesla model S fire truck
- 01/2018 rear-end collision Tesla model S fire truck
- 05/2018 rear-end collision Tesla model S police vehicle
- 01/2019 collision with oncoming traffic Tesla Model 3
- 08/2019 rear-end collision Tesla Model S tow truck
- 12/2020 malfunction of a service robot in a store

















#### **Examples of some Al errors**

- 01/2016 China, Tesla Model S,1 Driver<sup>†</sup>
- 05/2016 Florida, Tesla Model S, 1 Driver<sup>†</sup>
- 03/2018 Arizona, automated Uber Taxi, 1 Pedestrian<sup>†</sup>
- 03/2018 California, Tesla Model X, 1 Driver<sup>†</sup>
- 04/2018 Japan, Tesla Model X, 1 Pedestrian
- 03/2019 Florida, Tesla Model 3, 1 Driver
- 04/2019 Florida, Tesla Model S, 1 Pedestrian<sup>†</sup>
- 12/2020 California, Tesla Model S, 2 Persons Honda Civic†
- 05/2020 Norway, Tesla Model X, 1 Pedestrian<sup>†</sup>





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## **Ethical and safety aspects**

- Trustworthy Artificial Intelligence
  - Depending on the sources of risk of the selected Al process.
    - 1. Fairness
    - 2. Privacy
    - 3. Degree of automation and control
    - 4. Complexity of the task and usage environment
    - 5. Degree of transparency and explainability
    - 6. Security
    - 7. System hardware
    - 8. Technological maturity

Ethical aspects

Reliability and robustness



#### **Fairness**



Source: www.jobrapido.com



#### Historic bias

ML model can learn negative correlation as men were often systematically favoured in the past



- Face recognition poorer performance among people of colour
- **Data bias**

Underrepresented groups in the training data lead to higher error rates of these groups in the ML model



# Degree of automation and control

System	Level of automation	Degree of control	Comments
Autonomous  Heteronomous	Autonomy	Human out of the loop	The system is capable of modifying its operation domain or its goals without external intervention, control or oversight
	Full automation	Human on the loop Human out of the loop	The system is capable of performing its entire mission without external intervention
	High automation	Human on the loop	The system performs parts of its mission without external intervention
	Conditional automation	Human on the loop	Sustained and specific performance by a system, with an external agent ready to take over when necessary
	Partial automation	Human in the loop	Some sub-functions of the system are fully automated while the system remains und the control of an external agent
	Assistance	Human in the loop	The system assists and operator
	No automation	Human in the loop	The operator fully controls the system















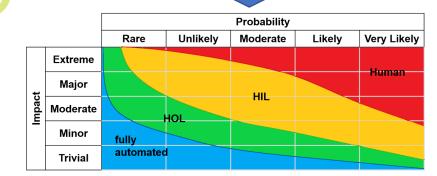
## Degree of automation and control

Degree of automation of the system

System

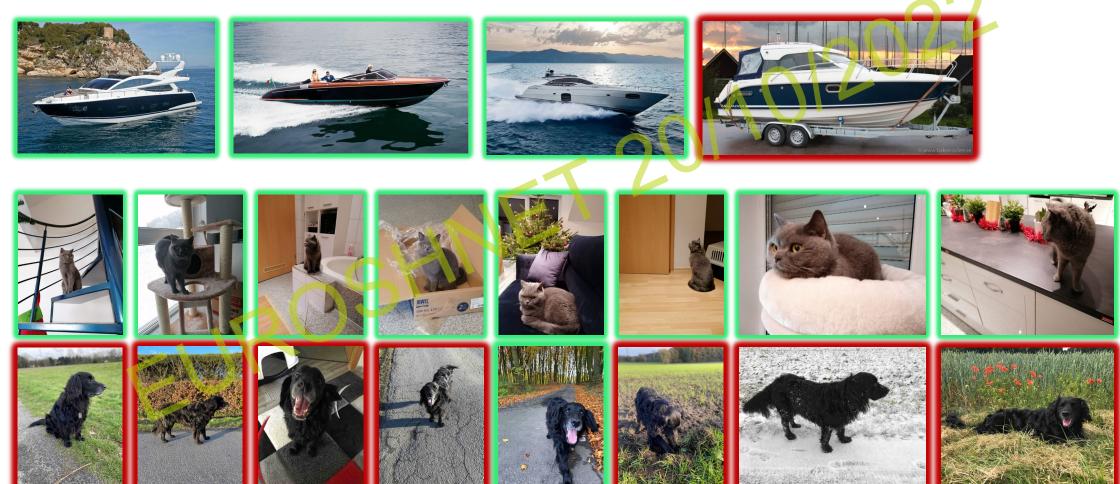
intended use operational environment ethical context

Autonomy of the human



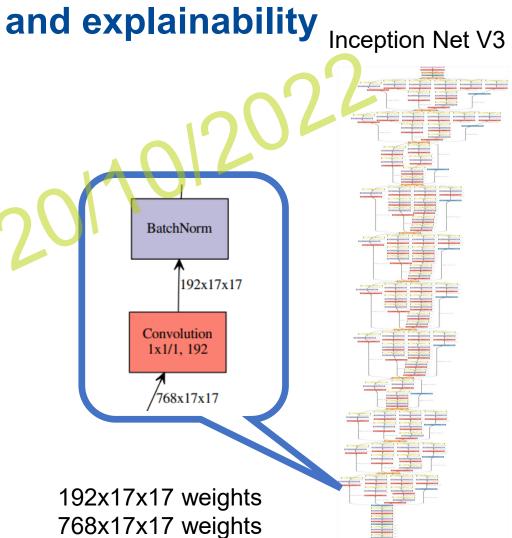


## Degree of transparency and explainability

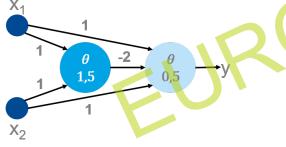




Degree of transparency and explainability



1 Neuron



2 Neurons



5 Neurons

Prof. Dr. André Steimers, EUROSHNET 2022



## Complexity of the task and usage environment





## Complexity of the task and usage environment

#### Completed learning

 the model is static and can be extensively validated





#### Concept Drift

- the environment or task of the system deviates from the specification
- the system fails because it does not adapt to the new conditions

#### Continuous learning

 the model can adapt to changing environmental conditions





Source: http://georg-dahlhoff.de

#### Data Drift

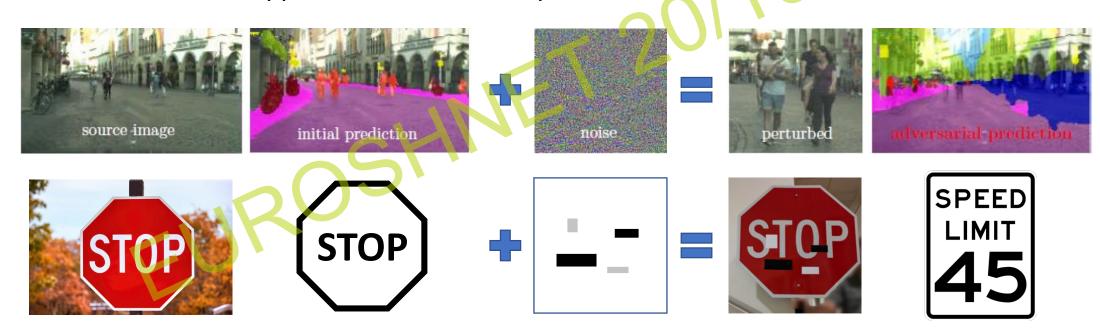
- the model differs from the original specification
- no static version exists that could be validated



## **Security**

#### **Adverserial Attacks**

A valid model is supplied with disturbed input data to deceive it.



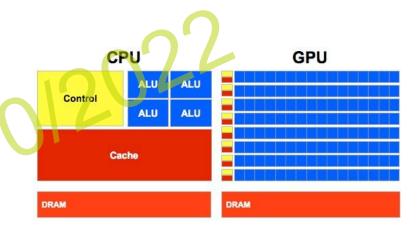
Sources:

Koopman et. al., Challenges in autonomous vehicle testing and validation, SCAV 17, 2017 Eykholt et. al., Robust Physical-World Attacks on Deep Learning Visual Classification, CVPR, 2018



## **System-Hardware**

- Two systems need to be considered:
  - Training system:
    - Training requires a lot of computing power
    - Cloud systems, edge systems, GPU clusters
  - Application system
    - Application of the finished model usually requires much less computing power
    - Edge systems, GPUs, embedded systems
- Asymmetry between training phase and application phase
  - Different memory management, memory architecture and memory size
  - Different programming languages
  - > Translation errors



Source: www.nvidia.com



## Thank you for your attention





Article

#### Sources of Risk of AI Systems

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Abstract: Artificial intelligence can be used to realise new types of protective devices and assistance systems, so their importance for occupational safety and health is continuously increasing. However, established risk mitigation measures in software development are only partially suitable for applications in AI systems, which only create new sources of risk. Risk management for systems that for systems using AI must therefore be adapted to the new problems. This work objects to contribute hereto by identifying relevant sources of risk for AI systems. For this purpose, the differences between AI systems, especially those based on modern machine learning methods, and classical software were analysed, and the current research fields of trustworthy AI were evaluated. On this basis, a taxonomy could be created that provides an overview of various AI-specific sources of risk. These new sources of risk should be taken into account in the overall risk assessment of a system based on AI technologies, examined for their criticality and managed accordingly at an early stage to prevent a later system failure.

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#### More Information:

Steimers A, Schneider M. Sources of Risk of Al Systems. Int J Environ Res Public Health. 2022 Mar 18;19(6):3641. doi: 10.3390/ijerph19063641